



Farm Labor Methodology and Quality Measures

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Farm Labor Survey Methodology

Scope and Purpose: The NASS Farm Labor Survey provides the basis for employment and wage estimates for all workers directly hired by U.S. farms and ranches (excluding Alaska), for each of four quarterly reference weeks. The Farm Labor Survey is conducted in cooperation with the Department of Labor. The employment and wage estimates published support USDA and Department of Labor programs, and are used by additional federal, state, and local government agencies, educational institutions, farm organizations, and private sector employers of farm labor.

The NASS Farm Labor Survey is conducted semi-annually in April and October, in all surveyed states except California. During the April data collection, data for both January and April reference weeks are collected. During the October data collection, data for both July and October reference weeks are collected. The quarterly reference week is the Sunday to Saturday period which includes the 12th day of the month. For California, collection of these data is currently conducted on a quarterly basis, as part of the California Employment Development Department monthly labor program.

Estimates published include number of hired workers during each quarterly reference week, the average hours worked, and average wage rates by type of worker. Estimates are published for the U.S. and each of 15 NASS labor regions, and the states of California, Florida, and Hawaii.

Survey Timeline: Data collection begins the week following the April or October reference week and progresses through the end of the month, for all surveyed states except California. In California, data collection begins shortly after the reference week in each of the four surveyed quarters and typically extends beyond the end of the month. NASS Regional Field Offices (RFOs) have about four or five business days following the NASS semi-annual data collection period, to complete editing and analysis, execute the summary, and interpret the survey results at the state level for each member state. The Agricultural Statistics Board performs a national review, reconciles state estimates to regional and national estimates, and prepares the official estimates for release in five to eight business days. Official Farm Labor Survey estimates are published in May (for the January and April quarters) and November (for the July and October quarters, and annual average estimates).

Sampling: The target population for the Farm Labor Survey program is all farms and ranches with \$1,000 or more in agricultural sales (or potential sales), excluding Alaska farms. NASS uses a dual frame approach, consisting of list frame and area frame components, to provide complete coverage of this target population.

The list frame includes all known agricultural establishments. A profile, known as control data, of each establishment is maintained on the list frame to allow NASS to define list frame sampling populations for specific surveys and to employ efficient sampling designs. List frame records with positive peak number of worker control data are included in the list frame farm labor population. Records without peak number of worker control data that have a gross value of sales of at least \$10,000, many of which are expected to employ agricultural workers, are also part of the list frame farm labor population. In total, the list frame farm labor population includes approximately 1.3 million U.S. farms and ranches.

The area frame contains all land in the U.S. (except Alaska) and is therefore complete for the Farm Labor Survey program. Although Hawaii has an area frame, NASS does not update the Hawaii area frame on a yearly basis, and so this frame is excluded from sampling for the Farm Labor Survey. For all states, land is stratified according to intensity of agriculture using satellite imagery. The land in each stratum is divided into segments of roughly one square mile. Segments are optimally allocated and sampled to effectively measure crops and livestock. The sampled segments are fully

enumerated in June. All farms and ranches found operating tracts in these segments are checked to see if they are included in the list frame farm labor population. The farms and ranches that are not included in the list frame labor population, called nonoverlap tracts, are sampled for the Farm Labor Survey so that the target population is completely represented. Beginning in October 2012, the area frame component has been enumerated during each semi-annual data collection period along with the list sample. Prior to October 2012, the area frame component was surveyed in July and modeled in January, April, and October to reduce respondent burden.

The Farm Labor Survey list frame sample is selected using a hierarchical stratified sampling design with strata defined by peak number of farm workers and gross value of sales. The sample is a panel sample and is designed to achieve a U.S. level standard error of four percent of the point estimate for all hired workers and one percent of the ratio estimate for wage rates of all workers. The U.S. list frame sample size for the Farm Labor Survey in recent years is approximately 10,500. An additional 1,500 to 2,500 sample units are drawn to support the California Employment Development Department (EDD) labor program exclusively. The California list frame for the Farm Labor Survey is assumed to be complete. The Farm Labor Survey nonoverlap sample uses a stratified sample design based on data collected in the June Area Frame Survey. The area frame sample size is approximately 1,500. Each list frame and area frame sampling unit is assigned a sampling weight which is used to create the survey estimates.

Data Collection: Initial data collection proceeds utilizing NASS data collection instruments and follow-up procedures, in all surveyed states except California. In California, data collection proceeds similarly according to the procedures of the California EDD. Per a cooperative agreement, EDD collects California labor data utilizing the NASS derived sample of operations.

Data Collection for All States Except California

For consistency across modes, the paper version is considered the master questionnaire and the Computer Assisted Telephone Interview (CATI) and web reporting instruments are built to model the paper instrument. Questionnaire content and format are evaluated annually through a specifications process where requests for changes are evaluated and approved or disapproved. Input may vary from question wording or formatting to a program change involving the deletion or modification of current questions or addition of new ones. If there are significant changes to either the content or format proposed, a NASS survey methodologist will pre-test the changes for usability. Prior to the start of data collection, all instruments are reviewed including the CATI and web instruments.

All federal data collections require approval by the Office of Management and Budget (OMB). NASS must document the public need for the data, show the design applies sound statistical practice, ensure the data do not already exist elsewhere, and that the public is not excessively burdened. The Farm Labor Survey questionnaire must display an active OMB number that gives NASS the authority to conduct the survey, a statement of the purpose of the survey and the use of the data being collected, a response burden statement that gives an estimate of the time required to complete the form, a confidentiality statement that the respondent's information will only be used for statistical purposes in combination with other producers, and a statement saying that response to the survey is voluntary and not required by law.

In addition to asking the specific farm labor items, all instruments collect information to verify the sampled unit, determine any changes in the name or address, identify any partners to detect possible duplication, verify the farm still qualifies for the target population, and identify any additional operations operated by the sampled operator.

During each semi-annual data collection period, sampled farms and ranches receive a pre-survey letter explaining the survey and that they will be contacted for survey purposes only. The letter provides a copy of the questionnaire to allow respondents to respond by mail or to prepare in advance for a follow-up interview and also provides a pass code they can use to complete the survey on the internet. All questionnaires completed on paper are returned to the NASS National Operations Center where they are visually reviewed and key entered. All modes of data collection are utilized for the Farm Labor Survey. While mail is the least costly mode of collection, the short data collection period and the uncertainty of postal delivery times limit its effectiveness. Most of the data are collected by phone follow-up interviews from NASS Data Collection Centers, using CATI. Personal interviews are generally reserved for large operations or those with special handling arrangements. Data collection is coordinated for farms sampled for multiple on-going surveys.

Data Collection for California

The California EDD utilizes a mail out phase with follow-up similar to the NASS procedure. All sample units from the NASS derived California labor sample receive an EDD labor questionnaire which includes the NASS Farm Labor Survey questions as well as additional content.

The EDD program is conducted monthly, and the data collection period typically extends two to three weeks beyond the concurrent NASS semi-annual data collection period. Because the EDD program is monthly, collection of California labor data for January and July begins shortly after the respective reference week, and complete datasets are received at NASS by the following month. For all other states, January and July data are collected as part of the NASS semi-annual program and are therefore received with the April or October data respectively. Because the EDD data collection period is longer than the concurrent NASS data collection period, the final California datasets for the April and October reference weeks are not fully processed until the following NASS semi-annual survey period.

Throughout the EDD data collection period, electronic files containing labor data are regularly transmitted securely to the NASS Pacific RFO in California. These files are made available to the NASS editing and analysis instruments, so that all subsequent data handling processes proceed according to the NASS data analysis and estimation program.

Survey Edit: As survey data are collected and captured, data are edited for consistency and reasonableness using automated systems. Reported data are edited as a batch of data when first captured. The edit logic ensures the coding of administrative data follows the methodological rules associated with the survey design. Relationships between data items (i.e. responses to individual questions) on the current survey are verified. Some data items in the current survey are compared to data items from earlier surveys to ensure certain relationships are logical. The edit assigns a status to each record, indicating whether or not the record passes or fails the edit requirements for consistency and reasonableness. Records that fail edit requirements must be updated or must be certified by an analyst to be exempt from the failed edit requirement. Only records that pass edit requirements, or are certified exempt, are eligible for final summary.

Analysis Tools: Edited Farm Labor Survey data are processed and analyzed with a standard interactive data analysis tool which displays data for all reports by item. The tool provides scatter plots, tables, charts, and special tabulations that allow the analyst to compare record level data with previously reported data for the same record, and reported data from similar records. Atypical responses and unusual data relationships are revealed by the analysis tool. RFO and NASS Headquarters (HQ) staff review such relationships to determine if they are correct. Data found to be in error are corrected, while accepted data are retained.

Nonsampling Errors: Nonsampling errors are present in any survey process. These errors include reporting, recording, and editing errors. Steps are taken to minimize the impact of these errors, such as questionnaire testing, comprehensive interviewer training, validation and verification of processing systems, application of detailed computer edits, and evaluation of the data via the analysis tool.

Nonresponse Adjustment: Response to the Farm Labor Survey is voluntary. Some producers refuse to participate in the survey, others cannot be located during the data collection period, and some submit incomplete reports. These nonrespondents must be accounted for if accurate estimates of farm labor are to be made. For the Farm Labor Survey, nonrespondents are accounted for by adjusting the weights of the respondents. The adjustment occurs by stratum as all strata represent homogeneous groupings of similar sized farms. The adjustment is performed by individual item on the questionnaire so adjustments for item nonresponse (partial reports) and unit nonresponse (refusals and inaccessible) are done in a single calculation.

Estimators: Each farm and ranch in the sample has an initial sampling weight. This is the inverse of the sampling fraction. For example, if a stratum has 1,000 farms in the population and 200 are sampled for this survey, each sampled farm has a weight of five. In other words, each sampled farm represents five farms. The nonoverlap tracts sampled to measure the labor not accounted for by the list have a weight determined by adjusting their original area frame weight by any second stage sampling weight.

The Farm Labor Survey uses a “reweighted” estimator to compute direct measures of hired farm workers. The reweighted estimator uses a global weight adjustment across all usable reports, which is the initial sampling weight adjusted for

nonresponse. Using the previous example, if 180 of the original 200 respond, the weights of the 180 will be adjusted to 1,000 divided by 180, or 5.56.

Point estimates, called direct expansions, are calculated by multiplying the reported value by the final adjusted weight and summing to a stratum total. A variance estimate is also computed at the stratum level. The nonoverlap tracts are treated as an additional stratum. Totals and variances are additive across strata to form state estimates.

Ratio estimates are also computed for many items. For example, wage rates are calculated as the ratio of total wages to total hours worked. Ratio estimators use the reweighted estimator described above for the numerator and denominator direct expansions. Both the numerator and denominator must be usable in order for that record to be used in the ratio estimator.

Outliers: Both RFO and HQ statisticians conduct a review of worker and wage outliers to ensure the most accurate data and indications possible. The RFO statisticians review outliers for states within their regions and the HQ statistician examines outliers across all states. A determination is made as to whether an adjustment to final estimates will be required for each outlier. Many outliers trace back to unique situations that do not exist in the target population as much as the survey weight would indicate.

Estimation: The number of hired workers, average hours worked, average wage rate data, and all associated sub-items for each surveyed reference week are summarized from the dataset. Because identical data collection instruments are used for all states, as well as identical editing and analysis processes, state data can be summarized to regional and national survey point estimates. For estimation purposes, point estimates are adopted as survey indications for all data items. The summary results provide multiple direct and ratio indications for each data series being estimated. The results also provide information used to assess the performance of the current survey and evaluate the quality of the survey indications. RFOs interpret the survey indications and submit state level recommendations for all member states to HQ, providing justification in cases where recommendations deviate from survey indications, as well other state specific comments and justifications. HQ completes the regional and U.S. level summaries for each surveyed reference week, which utilize the same estimators and produce the same indications as the state level summaries.

For the final step in the estimation process, NASS assembles a panel of statisticians to serve as the Agricultural Statistics Board (ASB), which reviews the U.S. level, regional, and state level summary indications and establishes all final, official estimates for each surveyed reference week. Since larger sample sizes yield more precise results, the ASB employs the “top-down” approach by determining the U.S. level estimates first and reconciling the state recommendations to the U.S. and regional numbers for all estimates including number of hired workers, average hours worked, average wage rates, and associated sub-items. As part of the semi-annual process, the ASB also considers revised California recommendations submitted after interpretation of final April or October datasets from the prior survey period, and issues revisions of previously published California and U.S. level estimates where appropriate. Additionally, the ASB reviews the annual average estimates for the November publication, which are summarized from the final regional and U.S. level estimates for each of the four quarters. Through examining indications across states and regions, re-examining outliers and historic indications and estimates, and considering state level recommendations and comments, the ASB is able to establish all final estimates according to both local conditions and regional and national conditions and trends.

Quality Metrics for the Farm Labor Survey

Purpose and Definitions: Under the guidance of the Statistical Policy Office of the Office of Management and Budget (OMB), the United States Department of Agriculture's National Agricultural Statistics Service (NASS) provides data users with quality metrics for its published data series. The metrics tables in this document describe the performance data for the survey contributing to the publication. The accuracy of data products may be evaluated through sampling and nonsampling error. The measurement of error due to sampling in the current period is evaluated by the coefficient of variation for each estimated item. Nonsampling error is evaluated by response rates.

Sample size is the number of observations selected from the population to represent a characteristic of the population.

Response rate is the proportion of the sample that responded to the survey.

Coefficient of variation provides a measure of the size for the standard error relative to the point estimate and is used to measure the precision of the results of a survey estimator.

Coefficient of Variation for Wage Rate by Type of Worker – United States

Reference week	Field (percent)	Livestock (percent)	Field and livestock combined (percent)
October 7 - 13, 2012	1.1	1.5	0.9
October 6 - 12, 2013	1.0	1.3	0.8
July 8 - 14, 2012	1.0	1.5	0.8
July 7 - 13, 2013	0.9	1.4	0.8

Farm Labor Sample Size and Response Rate – Regions and United States: October 7-13, 2012 and October 6-12, 2013

Region	Sample size		Response rate	
	2012 (number)	2013 (number)	2012 (percent)	2013 (percent)
Northeast I	632	662	62.4	52.4
Northeast II	563	582	58.5	65.3
Appalachian I	626	621	73.0	72.6
Appalachian II	774	765	77.0	73.4
Southeast	677	669	67.7	69.9
Florida	551	549	71.9	63.9
Lake	881	893	65.0	61.7
Cornbelt I	942	942	60.6	63.9
Cornbelt II	713	748	62.4	65.4
Delta	760	709	71.8	65.7
Northern Plains	977	988	65.7	62.1
Southern Plains	1,173	1,220	75.3	72.0
Mountain I	419	427	66.0	63.6
Mountain II	357	350	68.9	67.6
Mountain III	340	333	71.5	63.2
Pacific	599	583	64.1	56.3
California	984	984	63.4	64.3
Hawaii	395	407	72.4	71.1
United States	12,363	12,432	67.5	65.3

Farm Labor Sample Size and Response Rate – Region and United States: July 8-14, 2012 and July 7-13, 2013

Region	Sample size		Response rate	
	2012 (number)	2013 (number)	2012 (percent)	2013 (percent)
Northeast I	632	662	62.1	51.8
Northeast II	563	582	58.3	64.8
Appalachian I	626	621	71.5	71.5
Appalachian II	774	765	76.1	73.1
Southeast	677	669	67.1	69.6
Florida	551	549	71.7	63.9
Lake	881	893	64.2	61.9
Cornbelt I	942	942	60.1	63.8
Cornbelt II	713	748	61.8	65.3
Delta	760	709	68.7	64.7
Northern Plains	977	988	65.5	62.1
Southern Plains	1,173	1,220	73.2	71.6
Mountain I	419	427	64.9	63.3
Mountain II	357	350	67.5	67.6
Mountain III	340	333	70.9	63.0
Pacific	599	583	61.8	56.5
California	984	984	65.9	53.5
Hawaii	395	407	70.9	70.9
United States	12,363	12,432	66.8	64.2

**Coefficient of Variation for All Hired Workers and Wage Rate – Region and United States:
October 7-13, 2012 and October 6-12, 2013**

Region	All hired workers		Wage rate	
	2012 (percent)	2013 (percent)	2012 (percent)	2013 (percent)
Northeast I	11.9	16.7	2.4	3.1
Northeast II	17.0	9.1	3.6	2.9
Appalachian I	10.6	10.8	4.2	2.4
Appalachian II	13.5	16.4	4.5	3.9
Southeast	13.5	11.9	3.8	2.9
Florida	12.9	9.6	2.8	2.4
Lake	9.8	8.6	3.1	2.5
Cornbelt I	13.5	10.2	3.5	2.2
Cornbelt II	13.8	12.8	4.8	6.1
Delta	14.9	10.6	2.0	2.3
Northern Plains	10.5	12.3	2.8	4.5
Southern Plains	11.4	12.5	3.6	2.9
Mountain I	14.6	13.0	2.3	3.3
Mountain II	22.7	15.7	6.0	3.3
Mountain III	10.8	10.9	3.6	3.0
Pacific	11.6	10.7	2.8	2.8
California	4.4	4.0	1.7	1.3
Hawaii	5.4	9.6	2.1	2.1
United States	3.0	2.6	0.9	0.8

Coefficient of Variation for All Hired Workers and Wage Rate – Region and United States: July 8-14, 2012 and July 7-13, 2013

Region	All hired workers		Wage rate	
	2012 (percent)	2013 (percent)	2012 (percent)	2013 (percent)
Northeast I	10.9	16.1	1.9	4.0
Northeast II	16.5	10.1	4.5	2.6
Appalachian I	11.1	11.4	3.7	2.6
Appalachian II	13.9	16.3	4.2	3.5
Southeast	13.7	10.6	3.6	2.7
Florida	11.6	10.4	2.3	3.0
Lake	9.9	8.2	2.8	2.6
Cornbelt I	13.8	10.6	3.6	3.3
Cornbelt II	15.5	13.3	5.8	6.2
Delta	19.2	10.8	3.0	2.4
Northern Plains	11.9	10.9	2.9	3.5
Southern Plains	12.5	16.0	2.6	4.4
Mountain I	14.7	17.5	3.5	3.3
Mountain II	20.0	15.5	4.9	4.6
Mountain III	11.9	11.1	3.9	3.4
Pacific	12.0	9.7	2.7	2.3
California	3.9	4.4	1.5	1.1
Hawaii	5.7	10.1	2.2	2.3
United States	3.1	2.9	0.8	0.8

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- All reports are available electronically, at no cost, on the NASS web site: <http://www.nass.usda.gov>
- Both national and state specific reports are available via a free e-mail subscription. To set-up this free subscription, visit <http://www.nass.usda.gov> and in the “Follow NASS” box under “Receive reports by Email,” click on “National” or “State” to select the reports you would like to receive.

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Information Contacts

Process	Unit	Telephone	Email
Estimation	Environmental, Economics, and Demographics Branch.....	(202) 720-6146	HQ_SD_EEDB@nass.usda.gov
Data Collection	Program Administration Branch.....	(202) 690-8747	HQ_CSD_PAB@nass.usda.gov
Questionnaires and Editing	Editing and Questionnaire Branch	(202) 720-6201	HQ_CSD_DCB@nass.usda.gov
Sampling	Survey Sampling Branch.....	(202) 720-3895	HQ_CSD_SB@nass.usda.gov
Analysis and Estimators	Statistical Methods Branch	(202) 720-4008	HQ_SD_SMB@nass.usda.gov
Dissemination and Webmaster	Data Dissemination Section	(202) 720-7017	HQDAPP@nass.usda.gov
Media Contact	Public Affairs Section	(202) 720-7017	HQDAPP@nass.usda.gov